

FORM PTO-1390 REV. 2/01T		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER 09707.0001	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37CFR1.5) 10/519,162	
INTERNATIONAL APPLICATION NO. PCT/JP03/08134		INTERNATIONAL FILING DATE June 26, 2003		FOREIGN PRIORITY June 26, 2002	
<p>TITLE OF INVENTION DRUG COMPOSITION CONTAINING NF-κB INHIBITOR</p> <p>APPLICANT(S) FOR DO/EO/US Kazuo UMEZAWA; Yohko KAWAI; Ryouichi HORIE; Toshiki WATANABE; Masakazu TOI; Gaku MATSUMOTO; Yutaka HORIGUCHI; and Jun NAKASHIMA</p>					
<p>Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed with the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A Substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154 (d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). 20. <input checked="" type="checkbox"/> Other items or information: a. <input type="checkbox"/> Copy of cover page of International Publication No. WO 2004/002465 A1 b. <input checked="" type="checkbox"/> Copy of Notification of Missing Requirements. c. <input checked="" type="checkbox"/> Copy of Sequence Listing in written form consisting of 2 pages</p>					

U.S. APPLICATION NO. (If known, see 37CFR 1.5) 10/519,162	INTERNATIONAL APPLICATION NO. PCT/JP2003/008134	ATTORNEY'S DOCKET NUMBER 09707.0001			
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY			
ENTER APPROPRIATE BASIC FEE AMOUNT = \$0.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		□ 20 <input checked="" type="checkbox"/> 30 \$130.00			
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		32	- 20 =	12	x \$50.00 \$600.00
Independent Claims		9	- 3 =	6	x \$200.00 \$1200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+\$360.00 \$			
		TOTAL OF THE ABOVE CALCULATIONS = \$1930.00			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$965.00			
		SUBTOTAL = \$965.00			
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)).		□ 20	□ 30	\$	
		TOTAL NATIONAL FEE = \$965.00			
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.		+\$40.00			
5 AKAYPAGH 00000146 10519162		TOTAL FEES ENCLOSED = \$ 1005.00			
65.00 OP 300.00 OP 600.00 OP		Amount to be refunded:			
		\$ charged:			
<p>a. <input checked="" type="checkbox"/> Checks in the amount of <u>\$965.00</u> and <u>\$40.00</u> to cover the above fees are enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22,852</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, D.C. 20005-3315					
 SIGNATURE David W. Hill, Reg. No. 28,220					
NAME/REGISTRATION NO.					

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315

DATED: August 15, 2005

SIGNATURE

David W. Hill, Reg. No. 28,220

NAME/REGISTRATION NO.

The PTO did not receive the following listed item(s) NO # 40
NO Assignment Document